## BME 665/565

Rate Codes and Cascade models

### **Cascade Models: Understanding the response to stimuli**



## **Firing rates of neurons**

- Last time we considered the firing rate as a Poisson process
  - Clearly, not all neurons exhibit such firing behavior, nor do they exhibit such behavior all the time
  - In fact, many neurons show variability greater than than predicted by a Poisson model

Can we characterize the firing rate of specific neurons based on empirical data?



## **Spike-count rates**

 Again, treat action potentials as a series of n spikes occurring at times t<sub>i</sub>:



• Then the spike sequence can be considered a series of Dirac functions:

$$\rho(t) = \sum_{i=1}^{n} \delta(t - t_i)$$
 note:  $\int d\tau \rho(\tau) = n$ 

• So, the spike-count rate r for an interval T is given by:

$$r = \frac{n}{T} = \frac{1}{T} \int_{0}^{T} d\tau \rho(\tau)$$

Note: this is not timedependent

#### **Time-dependent firing rate**

• If the rate changes over time, we can estimate it by looking at shorter intervals  $\Delta t$ 



 However, for sufficiently short *∆t*, there will likely be only 1 or 0 spikes – i.e. only 2 possible firing rates

#### **Time-dependent firing rate**

• Alternative: determine the time-dependent firing rate by averaging over trials



• If we have only a few trials (or only one), it is still possible to estimate the time-dependent firing rate

## Approximating firing rates from a single trial



- Simple histogram: divide timeline into intervals of time  $\Delta t$ , count spikes, and divide by  $\Delta t$
- Problem: affected by both the size and location of the time bins

# Approximating firing rates from a single trial (cont.)



 Moving window: using an interval of Δt, count spikes in a moving window as it slides along the spike train

## **Approximating firing rates (cont.)**



• This rate can also be written as a linear filter:

$$r(t) = \int_{0}^{\infty} w(\tau) \rho(t - \tau) d\tau$$
  
Filter kernel

## **Approximating firing rates (cont.)**



• Selection of an appropriate kernel (windowing function) *w(t)* determines the smoothness of the curve

If w(t) is a Gaussian: 
$$w(t) = \frac{1}{\sqrt{2\pi\sigma_w}} \exp\left(-\frac{t^2}{2\sigma_w^2}\right)$$

Then *r*(*t*) is a smooth function of time

Clearly, one could do both (average over trials, using a kernel)

## **Example:**



Study of the information encoding of primate inferior temporal cortex (response to faces)

Single neuron response on multiple trials

Gaussian kernel,  $\sigma$ =5ms

Of particular use because the stimulus was very complex.

Tovey et al., *J Neurophysiol* 70: 640-654, 1993 <sup>35 / 565</sup>

## Alternative: identify the stimulus-specific response

- If the stimulus is known, a better understanding of the timedependent response to that stimulus can be obtained by looking at the time-dependent change in the stimulus leading to a spike
- Many systems adapt to the average level of stimulus intensity, so that the just-noticeable difference Δs between two stimuli is a function of stimulus intensity s
- We can study the response of the system to fluctuations in the average stimulus: i.e. define *s*(*t*) so that

$$\frac{1}{T}\int_{0}^{T}s(t)dt = 0$$

### **Cascade Models: Understanding the response to stimuli**



## Representing the Stimulus: Spike-triggered average



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## **Spike-triggered average**



Note direction of the time access, reflecting the average over past stimuli

## Spike-triggered average: example



Macaque ganglion cells, response to red, green, and blue stimuli

Chichilnisky et al., Nature Neuroscience 2, 889 - 893 (1999)

## **Spike-triggered average (cont.)**

• The spike-triggered average can be expressed as an integral:

$$C(\tau) = \left\langle \frac{1}{n} \sum_{i=1}^{n} s(t_i - \tau) \right\rangle$$

$$\rightarrow C(\tau) = \frac{1}{\langle n \rangle} \int_{0}^{T} r(t) s(t_i - \tau) d\tau$$
Why do we include the rate term?

#### **Spike triggered average stimulus = Reverse correlation function**

• The spike-triggered average is related to the correlation of the firing rate and the stimulus:

$$Q_{rs}(\tau) = \frac{1}{T} \int_{0}^{T} r(t) s(t+\tau) dt$$

• If the average firing rate over all trials is  $\langle r \rangle = \frac{\langle n \rangle}{T}$ 

Then

 $C(\tau) = \frac{1}{\langle r \rangle} Q_{rs}(-\tau)$  Reverse correlation function Why negative??

## Improving the approximation

- These examples have all estimated the firing rate as an instantaneous function
- Neurons respond to inputs over a period of time (a few hundred msec)
  - How can we estimate a firing rate that responds to these inputs?

### **Cascade Models: Understanding the response to stimuli**



## Linear estimation of firing rate

• Given a firing rate *r*(*t*) evoked by a stimulus *s*(*t*), the (linear) estimated firing rate is:

$$r_{est}(t) = r_0 + \int_0^\infty D(\tau) s(t-\tau) d\tau$$

- D(ti) is known as the linear kernel and weights the stimuli at times (t ti)
- We want to choose a kernel D to minimize the squared difference between the estimated and actual response:

$$E = \frac{1}{T} \int_{0}^{T} (r_{est}(t) - r(t))^{2} dt = \frac{1}{T} \int_{0}^{T} \left( r_{0} + \int D(\tau)s(t - \tau)d\tau - r(t) \right)^{2} dt$$
  
average over the duration of the trial

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# **Estimation of firing rate (cont.)**

- This function is minimized by setting the derivative with respect to D to 0
- Solution is a function of

- the stimulus correlation function  $Q_{rs}(\tau) = \frac{1}{T} \int_{0}^{T} r(t)s(t+\tau) dt$ 

- the stimulus autocorrelation function

$$Q_{ss}(\tau) = \frac{1}{T} \int_{0}^{T} s(t)s(t+\tau) dt$$

So, the optimal kernel is given by the solution of:

$$\int_{0}^{\infty} Q_{ss}(\tau - \tau') D(\tau') d\tau' = Q_{rs}(-\tau)$$

#### How good is our "optimal" kernel?



Velocity coding in the fly visual system:

Stimulus velocity modeled by white noise

Dashed line: measured timedependent firing rate

Solid line: estimated timedependent firing rate

### **Cascade Models: Understanding the response to stimuli**



## How can we improve our estimate?

• Incorporate static nonlinearities:

- Our current (linear) response is 
$$L(t) = \int_{0}^{\infty} D(\tau)s(t-\tau)d\tau$$

Replace the linear prediction with a nonlinear function of the linear filter value

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- Allows us to bound the firing rate appropriately, and perhaps model nonlinearities of our particular system
- This is often referred to as the *gain function* or the *generator signal* of the neuronal response

$$r_{est}(t) = r_0 + F(L(t))$$

## **Directional sensitivity**

- Directional tuning in motor cortex of primates
  - Data show a tuning curve of



$$f(s) = r_0 + (r_{\max} - r_0)\cos(s - s_{\max})$$



$$F(L) = r_{\max} \exp\left(-\frac{1}{2}\left(\frac{L - L_{\max}}{\sigma_f}\right)^2\right)$$







#### **Example: Motion Anticipation**



Single neuron response to stimulus

#### **Example: Motion Anticipation**



#### Spatial response to flashing and moving bars



After a latency of 40ms, neural activity increases to a peak at 60ms. Profile is centered on location of the flashing bar, and has a width at half-maximum that is ~the size of the receptive field for these neurons

For a moving bar, the neural activity leads the center of the bar by about  $100\mu m$ .

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From these data, can derive the linear kernel k(s,t) which defines the response rate to our stimulus:

$$U(t) = g(V) \int_{-\infty}^{\infty} \mathrm{d}x \int_{-\infty}^{t} \mathrm{d}t' \, s(x,t') k(x,t-t')$$

#### **Contrast sensitivity: "gain" control**



Response is exponentially filtered in time (has the effect of averaging it):

$$V(t) = \int_{-\infty}^{t} dt' \ u(t')B \ \exp\left(-\frac{t-t'}{\tau}\right)$$

High-contrast stimulus desensitizes the response  $\rightarrow$  model incorporated a negative feedback loop known as "gain control":

$$g(v) = \begin{cases} 1 & v < 0 \\ 1/(1 + v^4) & v > 0 \end{cases}$$

#### **Example: Motion Anticipation**



Berry et al., *Nature*, 3/25/99, Vol. 398 Issue 6725, p334

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## White noise analysis



- Choose randomly selected stimuli
- Compute the spike-triggered average (or other estimate of rate) → estimate the linear filter
- Fit the mean spike rate as a function of the generator signal → estimate the nonlinearity
- Compare with actual response data to evaluate the model

## White noise as stimuli

• Clearly, the response depends upon the nature of the stimulus

- A very common technique used for analyzing neuronal response patterns is to use a white noise stimulus
- By definition:  $Q_{ss}(\tau) = \sigma_s^2 \delta(\tau)$  for a Gaussian (white noise) (i.e. inputs uncorrelated)

• So, for white noise stimuli, we can determine our optimal linear kernel:

$$\int_{0}^{\infty} Q_{ss}(\tau-t)D(t)dt = \sigma_{s}^{2}\int_{0}^{\infty} \delta(t-\tau)D(\tau)d\tau = \sigma_{s}^{2}D(\tau)$$

Since 
$$\int_{0}^{\infty} Q_{ss}(\tau - \tau') D(\tau') d\tau' = Q_{rs}(-\tau)$$

the optimal kernel for a white noise stimulus is:

$$D(\tau) = \frac{Q_{rs}(-\tau)}{\sigma_s^2} = \frac{\langle r \rangle C(\tau)}{\sigma_s^2}$$

#### **Example: White noise analysis of retinal ON- and OFF-center cells**

• Stimuli:



#### Chichilnisky, Network: Comput. Neural Syst. 12 (2001) 199-213

• Calculate the spike-triggered average:



Chichilnisky, Network: Comput. Neural Syst. 12 (2001) 199-213

- Estimate the linear filter
  - w is the neuron's stimulus selectivity
  - s is the stimulus
  - Response of the neuron is estimated as the dot product of the selectivity vector w and the stimulus s:



- Estimate the non-linear function: plot the spike-triggered average as a function of L
- Fit an appropriate non-linear function (generator signal/gain)



 $F(L) \approx r_{\text{max}} C(\beta L + r_0)$  C = cumulative normal distribution

Chichilnisky, Network: Comput. Neural Syst. 12 (2001) 199-213

• Evaluate the fit

